

ORIGINAL RESEARCH

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Modeling the effect of extrusion parameters on density of biomass pellet using artificial neural network

Abedin Zafari, Mohammad Hossein Kianmehr* and Rahman Abdolazhadeh

Abstract

Background: The relationships between the density of the biomass pellet and the related variables are very complicated and highly nonlinear, which make developing a single, general, and accurate mathematical model almost impossible. One of the most appropriate methods to solve these problems is the intelligent method. Shankar and Bandyopadhyay and Shankar et al. successfully used genetic algorithms and artificial neural networks to understand and optimize an extrusion process.

Results: The results showed that a four-layer perceptron network with training algorithm of back propagation, hyperbolic tangential activation function, and Delta training rule with ten neurons in the first hidden layer and four neurons in the second hidden layer had the best performance for the prediction of pellet density. The minimum root mean square error and coefficient of determination for the multilayer perceptron network were 0.01732 and 0.972, respectively. Also, the results of statistical analysis indicate that moisture content, speed of piston, and particle size significantly affected ($P < 0.01$) the density of pellets while the influence of die length was negligible ($P > 0.05$).

Conclusions: The results indicate that a properly trained neural network can be used to predict effect of input variable on pellet density. The ANN model was found to have higher predictive capability than the statistical model.

Keywords: Extrusion parameters; Biomass pellet; Density; Artificial neural network

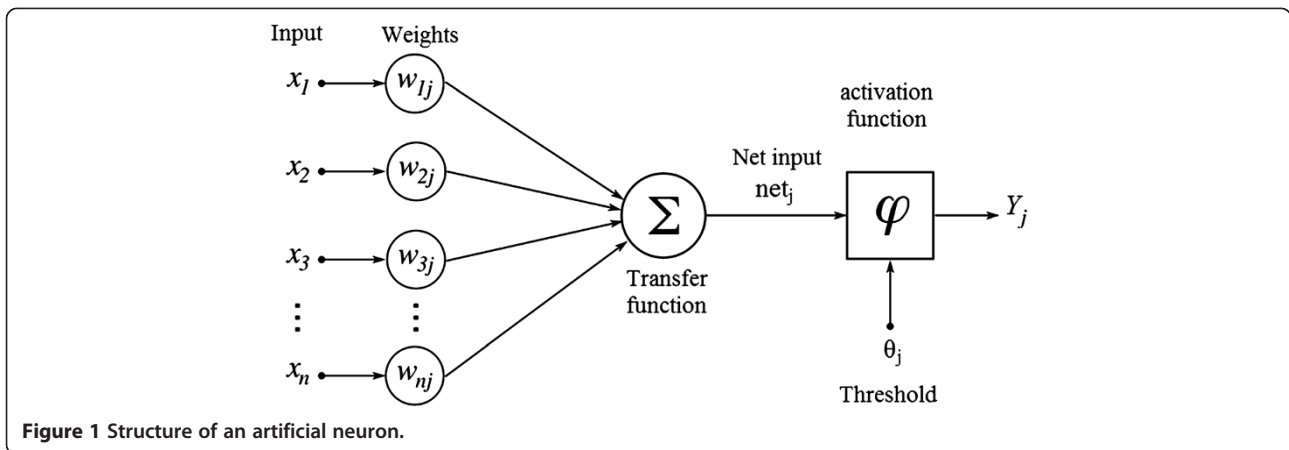
Introduction

Municipal solid waste (MSW) is largely produced in Iran, and its management has become a challenge, both economically and environmentally. Composting MSW is considered as a method of transferring organic waste materials from landfills to a product, which is suitable for agricultural purposes at a relatively low cost (Eriksen et al. 1999; Wolkowski 2003). Composting MSW reduces the volume of the waste, kills pathogens that may be present, decreases germination of weeds in agricultural fields, and destroys malodorous compounds. Converting the municipal waste to compost is very important because useful materials as compost produced from waste has been widely used for agricultural and horticultural purposes (Mavaddati et al. 2010). Composting of MSW has the potential to become a beneficial recycling tool for waste

management in Iran. The major barriers against the use of compost are their handling, application, and storage due to its low density. Therefore, these bulky residues can be densified into pellets by the extrusion process. Pelletizing is a method of increasing the bulk density of biomass with mechanical pressure (Erickson and Prior 1990). Pellets have low moisture content (about 12% wet basis (w.b)) and high bulk density (more than 1,000 kg/m³). These characteristics make them easier to transport and store (Hamelink et al. 2005).

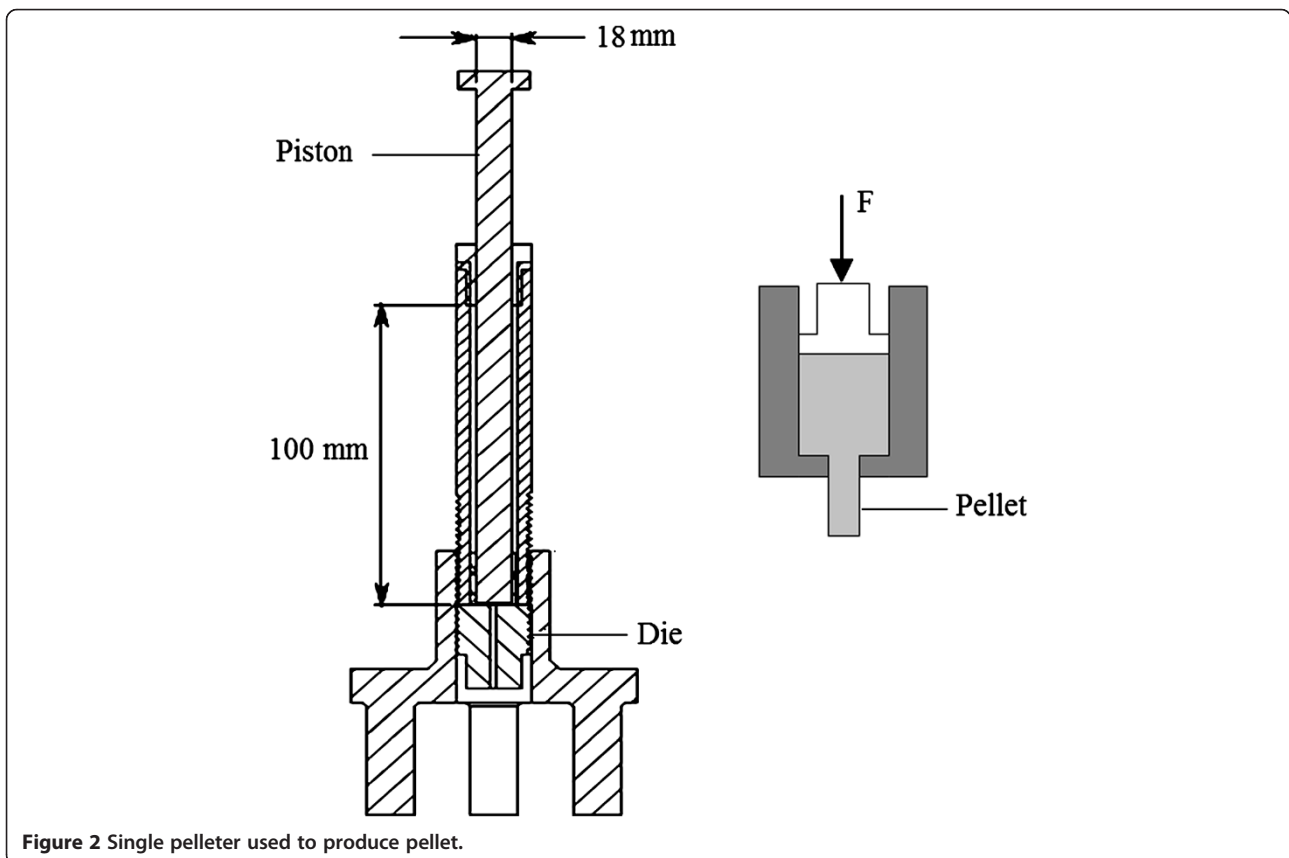
The process of forming biomass into pellets depends upon the physical properties of ground particles and the process variables during pelletizing. Modeling of the extrusion process focuses on understanding interactions between process parameters and product attributes (Moraru and Kokini 2003). This modeling approach helps to understand the behavior of the biomass grinds or particles during pelleting and to optimize the process conditions for obtaining a desirable pellet. The relationships between the density of the pellet and the related variables are

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very complicated and highly nonlinear, which make developing a single, general, and accurate mathematical model almost impossible. One of the most appropriate methods to solve these problems is the intelligent method. Shankar and Bandyopadhyay (2004) and Shankar et al. (2010) successfully used genetic algorithms (GA) and artificial neural networks (ANNs) to understand and optimize an extrusion process. In their studies, they used a combination of response surface methodology (RSM) and GA for better

understanding of the extrusion pelletization process. Ganjyal et al. (2003) explained the relationship between extrudate properties and extrusion parameters through the neural network method. Numerical simulation and analysis have also been developed by researchers for the extrusion process (Dhanasekharan and Kokini 2003; Alves et al. 2009). The RSM has been a widely used approach for the modeling of the extrusion process (Munoz-Hernandez et al. 2006; Altan et al. 2008; Chakraborty et al.



2009). ANN is especially useful for the modeling of complex nonlinear and multidimensional functional relationships. One of the characteristics of ANN is its ability to learn the relationship between dependent and independent variables due to their ability to learn complex nonlinear and multivariable relationships between process parameters (Basheer and Hajmeer 2000).

In general, an ANN is made up of a large number of simple processing elements known as nodes or neurons, which are organized in layers. Each neuron is connected to other neurons by connections, each of which has an associate numerical value known as ‘weight’. These weights determine the nature and strength of the influence between the interconnected neurons. Information is stored in the interneuron connection. A node has many inputs but only one output.

The task of the artificial neuron j is simple and consists of receiving input signals (X_i) weighted by connection weights (W_{ij}) from neighboring neurons. The sum of this weighted signal provides the neuron's total or net input (net_j). Then, the activation threshold of neuron j by a positive or negative θ_j value is added to the net input, and through applying a mathematical function (transfer function) to the net input, the output value Y_j is computed and sent to other neurons. This process is summarized in Equations 1 and 2 and illustrated in Figure 1.

$$net_j = \sum_{i=1}^n X_i W_{ij} - \theta_j \quad (1)$$

$$Y_j = f(net_j) \quad (2)$$

Understanding the compaction mechanisms is important to design energy-efficient compaction equipment and to quantify the effects of various process variables

on pellet density. In this research, ANN was used for the accurate modeling of the extrusion parameters' effect on density of composted MSW pellets which were produced by the laboratory method using an open-ended die.

Methods

Sample preparation

Compost samples were ground using a hammer mill with three different screen sizes (0.3, 0.9, and 1.5 mm) in order to understand the influence of particle size on density. The ground feedstocks were stored at room temperature ($25^\circ\text{C} \pm 2^\circ\text{C}$). The moisture content of the ground samples was determined following the procedure given in ASAE Standard S 269.4 (1998). The samples of compost were placed in an oven at $105^\circ\text{C} \pm 3^\circ\text{C}$ for 48 h. To evaluate the effect of moisture content on density, the moisture content of ground feedstocks were adjusted to 35%, 40%, and 45% (w.b) by adding water and were equilibrated overnight.

Pellet production

A hydraulic press and a single pelleter were used to produce a pellet. The pelleter's cylinder had an internal diameter of 10 mm and a length of 100 mm. The dies, placed at the end of the cylinder, had 6-mm hole diameters and different lengths. The schematic of the pelleter was shown in Figure 2. A hydraulic press was used to move the piston. Pressure control, piston speed control, and residence time control are important features in this press. A data logger was used for recording the displacement, force, and time measurements. The pellets were produced with piston pressure to materials against the die in three different piston speeds (2, 6, and 10 mm/s). The lengths of the die (8, 10, and 12 mm) and piston speed are parameters that determine the residence time and the resistance to the flow of the material control that the load applied. After pelleting, the moisture content of the pellet decreased

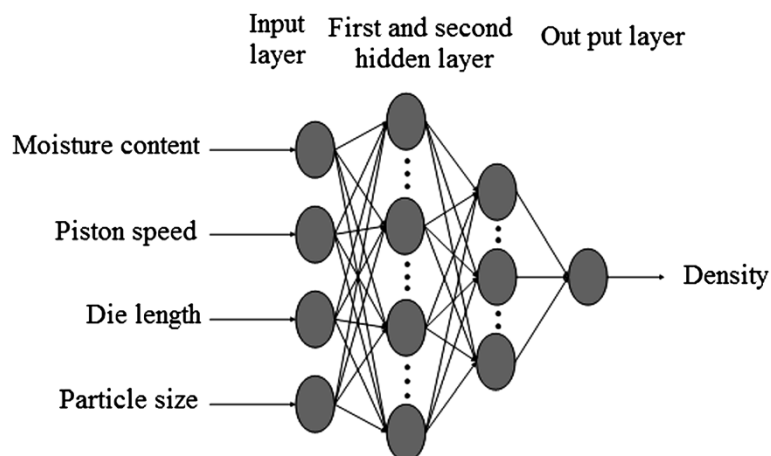


Figure 3 Topology of the back-propagation ANN for calculating the density.

Table 1 Analysis of Variation (ANOVA) of fitted model

| Source | Sum of squares | df | Mean square | F value | Probability > F |
|----------------|----------------|-----------------------|-------------|---------|-----------------|
| Model | 20,141.11 | 14 | 1,438.62 | 13.144 | <0.0001 |
| Residual | 965.68 | 14 | 68.97 | | |
| Lack of fit | 555.96 | 10 | 55.60 | 4.30689 | 0.8025 |
| Pure error | 402.62 | 4 | 102.40 | | |
| Cor total | 21,106.68 | 28 | | | |
| $R^2 = 0.95$; | | Adjusted $R^2 = 0.91$ | | | |

between 5% and 8%, and the produced pellets were dried at ambient temperature (about 25 °C). Their moisture content reached 12%.

Pellet density

The density of each pellet was calculated by measuring its length and diameter using an electronic caliper, and an electronic balance with 0.01-g precision was used for mass measurements. To have uniform length, the edges of the pellets were smoothed. Pellet density was calculated by dividing the mass of individual pellets by their volume calculated from the length and diameter (Shankar et al. 2007). The diameter of the pellet was 6 mm which is equal to the die diameter hole, and the length of the pellets varied between 15 and 25 mm. The reported values for pellet density are an average of five measurements.

Statistical analysis

A second-order quadratic equation based on RSM was used to describe the effect of independent variables in terms of linear, quadratic, and interaction terms. The proposed model for the response (ρ) is as follows:

$$\rho = b_0 + \sum_{i=1}^3 b_i X_i + \sum_{i=1}^3 b_{ii} X_i^2 + \sum_{i=1}^3 \sum_{j=i+1}^4 b_{ij} X_i X_j + \varepsilon, \quad (3)$$

where ρ is the predicted response (density); b_0 is the interception coefficient; b_i , b_{ii} , and b_{ij} are the linear, quadratic, and interaction terms, respectively; ε is the random error; and X_i is the independent variable studied. The Design Expert 8.0.7.1 software (Stat-Ease Inc., Minnesota, USA) was used for the regression and graphical analysis of the data obtained. The significance of the RSM model was evaluated by the F test analysis of variation (ANOVA).

Artificial neural network model development

ANN modeling was performed using commercial software NeuroSolutions 5 (NeuroSolutions, Gainesville, FL, USA). Using the experimental data, a feedforward artificial neural network model was developed for modeling correlations between density and input variables. The multilayer

perceptron (MLP) ANN, trained by backpropagation, was selected to develop density prediction models. The best ANN model and optimum values of network parameters were obtained by trial and error. All of the 81 patterns had five components (X_1, X_2, X_3, X_4, Y), where X_i s were input variables, and Y was the output variable. The data were divided into three groups of 49, 15, and 17 patterns for the training, verification, and testing of ANN, respectively.

Neural networks with various structures were investigated, including three and four layers with different number of neurons in each hidden layer, different values of learning rate and momentum, and different transfer functions. The best ANN structure was selected on the basis of the lowest error on the training and verification. Preliminary trials indicated that the two hidden-layer networks yielded better results than the one-hidden layer types in learning and predicting the correlation between input and output parameters (Figure 3). The modeling performance was evaluated by the root mean square error (RMSE) and coefficient of determination (R^2) as follows:

$$R^2 = \frac{\sum_j (o_j)^2 - \sum_j (t_j - o_j)^2}{\sum_j (o_j)^2}, \quad (4)$$

Table 2 Coefficient values of the fitted model

| Factor | Coefficient | Mean square | F value | P value probability > F |
|------------------------|-------------|-------------|---------|-------------------------|
| Moisture, X_1 | 126.22 | 1,060.13 | 15.37 | 0.0015 |
| Speed of piston, X_2 | 46.58 | 8,472.19 | 122.84 | <0.0001 |
| Die length, X_3 | 16.64 | 94.71 | 1.37 | 0.2608 |
| Particle size, X_4 | -1.57 | 5,699.97 | 82.64 | <0.0001 |
| $X_1 X_2$ | -0.18 | 53.67 | 0.78 | 0.3926 |
| $X_1 X_3$ | 0.29 | 34.52 | 0.50 | 0.4909 |
| $X_1 X_4$ | 1.27 | 57.99 | 0.84 | 0.3747 |
| $X_2 X_3$ | -1.17 | 353.24 | 5.12 | 0.0401 |
| $X_2 X_4$ | -2.79 | 178.81 | 2.59 | 0.1297 |
| $X_3 X_4$ | 1.10 | 6.92 | 0.10 | 0.7560 |
| X_1^2 | -0.6 | 1,440.37 | 20.88 | 0.0004 |
| X_2^2 | -0.14 | 33.31 | 0.48 | 0.4985 |
| X_3^2 | -0.14 | 1.91 | 0.03 | 0.8701 |
| X_4^2 | -60.7 | 3,097.58 | 44.91 | <0.0001 |

and

$$RMSE = \sqrt{\frac{\sum_j (t_j - o_j)^2}{p}}, \quad (5)$$

where t and o stand for target and output values, respectively, and p is the number of patterns.

Results and discussion

Based on the experimental data, the developed quadratic models in terms of actual variables are given in Equation 6. This equation predicted the density well with high R^2 and low probability.

$$\begin{aligned} \rho = & +126.22 + 46.58 X_1 - 16.63 X_2 - 27.92 X_4 \\ & - 1.17 X_2 X_3 - 0.59 X_1^2 - 60.72 X_4^2. \end{aligned} \quad (6)$$

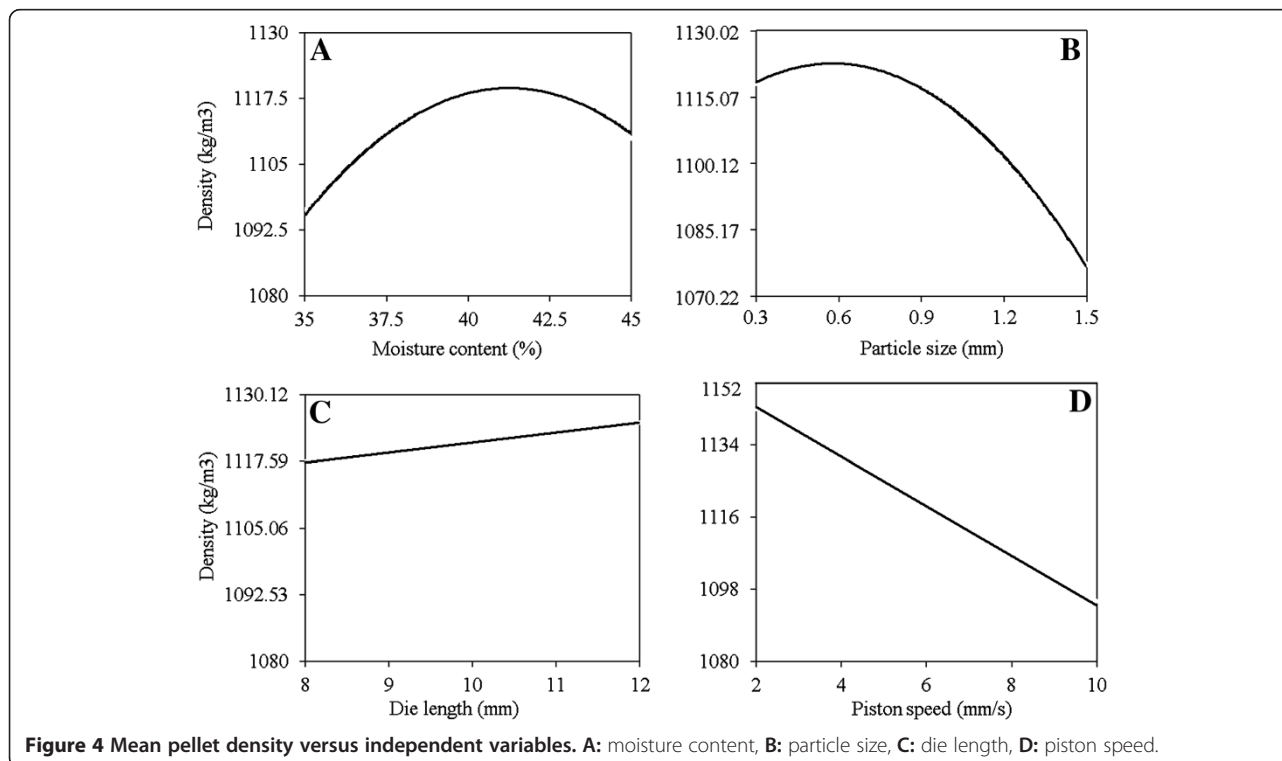
The value of 'Probability F' less than 0.0001 revealed that the quadratic model of response variables is a reliable model. The model had a high determination coefficient ($R^2 = 0.92$) and low lack of fit (Table 1). All the independent variables included in this study except die length had a significant effect on the density of the pellet (Table 2). The feedstock particle size and speed of piston showed a negative relationship with the density. The increase of die length had a negligible effect on the increase of the density. With increasing moisture, initially, the density increases and then decreases.

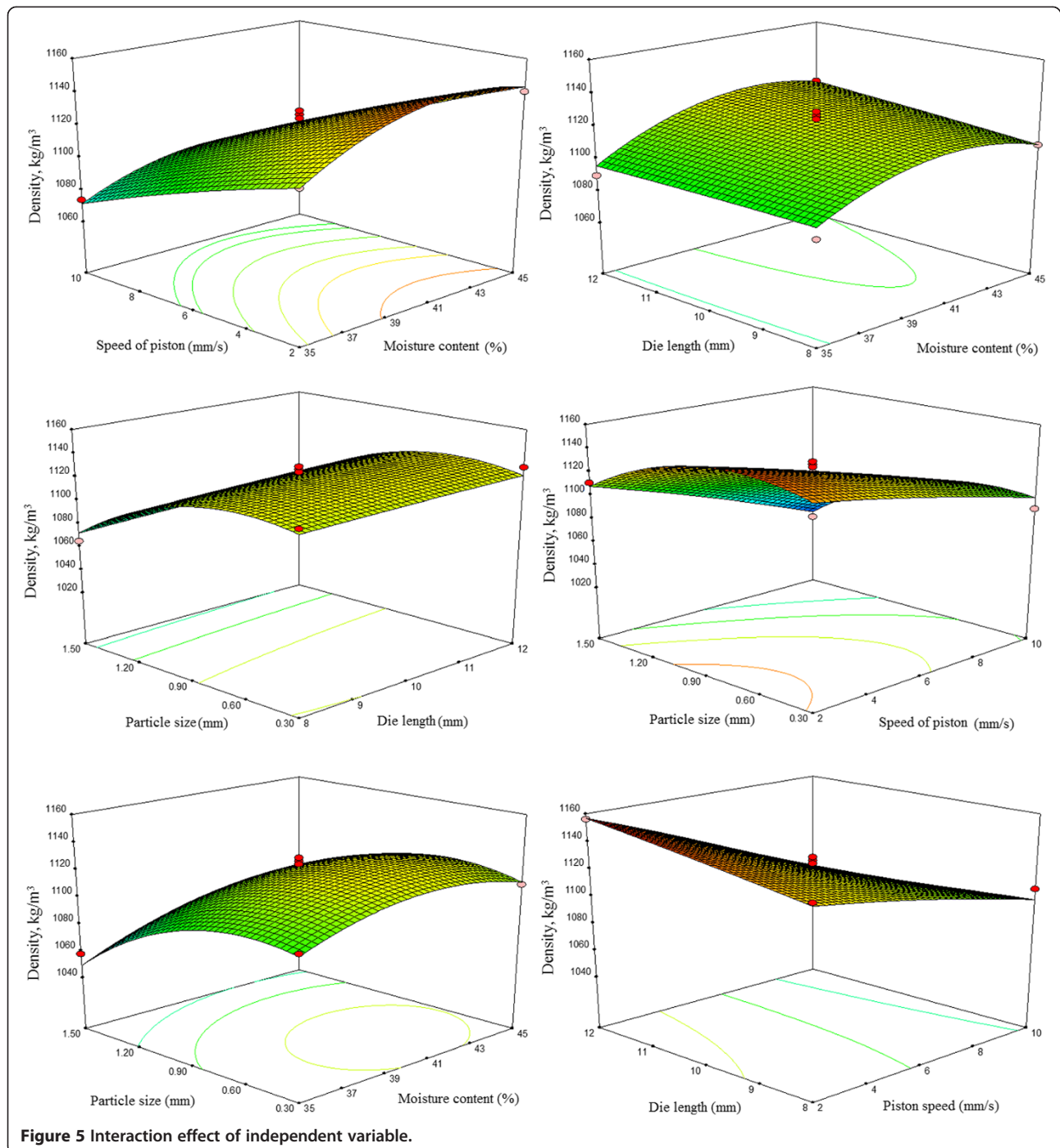
Effect of independent variable

Moisture content increment initially increased and then decreased density (Figure 4A). In the densification process, water acts as a film-type binder by strengthening and promoting the bonding via van der Waals forces and increasing the contact area of the particles (Mani et al. 2003). As a general rule, the higher the moisture content, the lower the density of the pellet. According to a previous research, the optimum level of moisture content for the densification process is different depending on the type of biomass and process conditions. The increase of moisture content from an optimal range reduces the intermolecular forces, and a much higher moisture content causes a biphasic mixture (liquid phase and solid phase) and disappears intermolecular forces entirely (Zafari and Kianmehr 2012).

Feedstock particle size had a negative influence on pellet density (Figure 4B). Density decreased with increasing particle size, which was in agreement with the results from the study by Zhou et al. (2008) which showed that corn stover density decreased with an increase in particle size. Similar results were also observed for wheat straw and switchgrass samples studied by Lam et al. (2008). Carone et al. (2011) reported that to produce high-density pellets, the raw material should have a moisture content lower than 10% w.b and a reduced particle size.

The use of a thicker die was found to enhance the density of the pellet (Figure 4C). This result followed the same trend as the experimental result from the





study by Theerarattananon et al. (2011). Results from the study by Behnke (unpublished data) showed that the use of a thicker die significantly increases pellet durability. Kaliyan and Morey (2009) reported that the factors which increase pellet durability could also increase the density; although, the relationship between the durability and the density of the biomass pellets was still unknown. The speed of piston will influence the flow rate and holding time of feedstock in the die. The results

showed that the low speed of piston had significant effect on increasing the pellet density (Figure 4D). The increase in shear force which is resulting from increased friction between feedstock and die may be the reason of increasing density. The results of this study were in agreement with those reported by Li and Liu (2000) for the processing of oak sawdust. In order to visualize the effect of interaction of the two factors on pellet density, interaction response surfaces are shown in Figure 5.

Table 3 Experimental testing data of artificial neural network

| Moisture (%) | Speed of piston (mm/s) | Die length (mm) | Particle size (mm) | Measured density (kg/m ³) | Predicted density (kg/m ³) |
|--------------|------------------------|-----------------|--------------------|---------------------------------------|--|
| 45 | 2 | 8 | 0.3 | 1,119.16 | 1,123.18 |
| 35 | 6 | 12 | 0.9 | 1,097.00 | 1,094.18 |
| 35 | 2 | 8 | 0.9 | 1,106.11 | 1,105.54 |
| 45 | 6 | 10 | 0.9 | 1,113.46 | 1,113.78 |
| 45 | 10 | 10 | 0.9 | 1,076.70 | 1,068.88 |
| 40 | 10 | 8 | 0.9 | 1,098.96 | 1,095.96 |
| 35 | 2 | 10 | 0.3 | 1,118.30 | 1,118.43 |
| 35 | 2 | 12 | 0.9 | 1,129.29 | 1,131.93 |
| 40 | 10 | 10 | 0.9 | 1,090.44 | 1,086.14 |
| 45 | 6 | 10 | 1.5 | 1,075.81 | 1,074.51 |
| 35 | 10 | 8 | 1.5 | 1,039.36 | 1,041.35 |
| 45 | 2 | 10 | 1.5 | 1,110.50 | 1,117.71 |
| 35 | 10 | 12 | 0.9 | 1,062.64 | 1,066.61 |
| 45 | 6 | 8 | 0.9 | 1,103.36 | 1,104.14 |
| 45 | 6 | 8 | 0.3 | 1,097.87 | 1,101.81 |
| 40 | 10 | 8 | 0.3 | 1,097.84 | 1,092.71 |
| 45 | 6 | 10 | 0.3 | 1,104.67 | 1,104.09 |

Artificial neural network model

ANNs were developed and tested for the prediction of density of the MSW pellet based on the four input variables namely moisture content, piston speed, die length, and particle size. Among the various ANN structures, model of good performance was produced by a four-layer ANN structure, 4-10-4-1, with hyperbolic tangent transfer function. Experimental testing data of the artificial neural network is shown in Table 3. This model showed a good capacity to learn the relationship between the input and output parameters without overtraining. The model produced the smallest RMSE in training, 0.01732, and testing, 0.0548. The final ANN parameters used for density prediction are shown in Table 4. Before arriving at this optimum, the range of ANN parameter values tried were the number of hidden layers: 1 and 2; neurons hidden layer: from 3 to 60; activation function: sigmoid, linear, and tanh; learning rate: 0.1 to 0.9; momentum: 0.1 to 0.9; and epoch size: 1,000 to 30,000.

An analysis with other ANN constructions indicated that two hidden-layer networks produced better results than a one-hidden layer. Figure 6 shows that the RMSE is represented as a function of number of epochs for the final structure, 4-10-4-1. The error on the training data generally decreases with increasing number of epochs,

with an initial large drop in error that slows down as the network begins to learn the patterns representing the training data set (Figure 6).

In this study, the number of epochs was limited to 10×10^3 . However, for the epochs in the range of 5×10^3 to 30×10^3 , the errors on both training and verification sets were in the acceptable range (Figure 6). Figures 7 and 8 show the predicted density data versus the same set of measured data for the final network trained with 10×10^3 epochs. It was observed that the predictive capability was good.

The resulting correlation coefficient was 0.972 for the regression between measured and predicted values (Figure 7), indicating that the ANN provided satisfactory results over the whole set of values for the dependent variable. The low value of RMSE between the predicted and measured data indicates that there is no difference between the predicted and measured values. Finally, these results confirm that a properly trained neural network was capable to produce a mapping between density and four input variables.

To prove the fact that the ANN model successfully learned the relationship between the four input variables and the density as the output, one within the whole range of the data, the distribution pattern of the relative errors was reported in Figure 9. It is

Table 4 The optimum values of the ANN model used to predict the density of biomass pellet

| Optimum | | | Transfer function | Mean value | | |
|---------------|---------------|----------|-------------------|------------|-----------|--------|
| MLP structure | Learning rate | Momentum | | RMSE train | RMSE test | Epoch |
| 4-10-4-1 | 0.7 | 0.5 | Tanh | 0.01732 | 0.0548 | 10,000 |

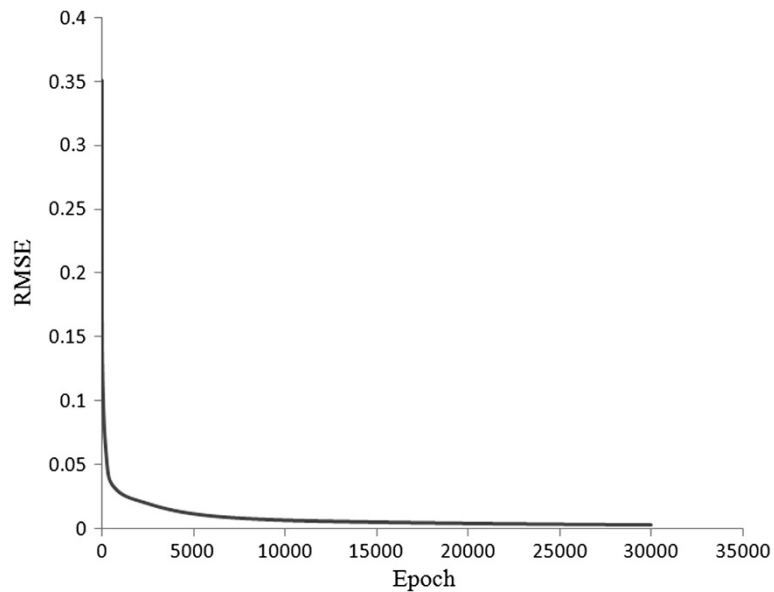


Figure 6 Training conditions of optimum-designed network.

evident that the residuals were well distributed at either sides of the horizontal band centered on zero and displayed no systematic tendencies towards any clear pattern.

Comparison of RSM and ANN models

In this study, RSM and ANN methods were applied for the modeling and optimization of the density of the biomass pellet from compost. In order to test the validity of RSM and ANN results, experiments were conducted for 16 new trials, consisting of combinations of experimental factors, which do not belong to the training data set.

The actual and predicted values, together with the residuals (the difference between predicted and actual values), for both approaches are shown in Table 5. Figure 10 shows the distribution of residuals of two approaches to compare them. The fluctuations of the residuals are relatively small and regular for ANN compared to RSM model-based statistical analysis. The RSM model shows greater deviation than the ANN model. The performances of the constructed ANN and RSM models were also measured by the R^2 and RMSE (Equations 4 and 5). Table 6 presents the statistical comparison of RSM and ANN models. Both RSM and ANN models provided good

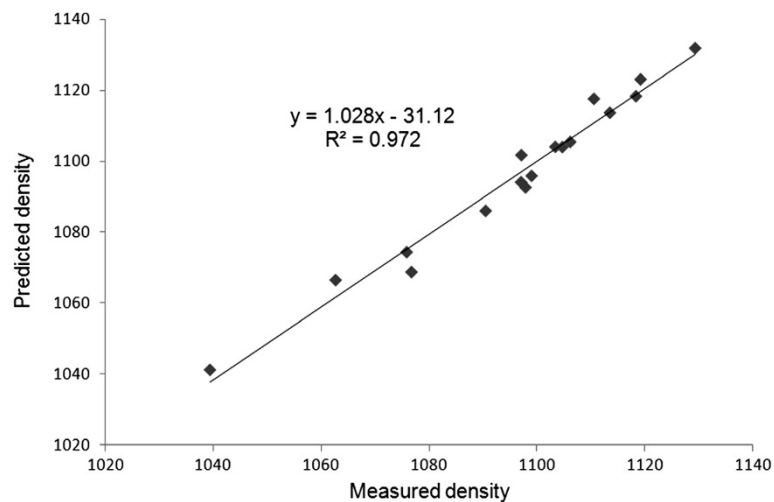


Figure 7 Correlation between the measured and the predicted density data using the ANN model.

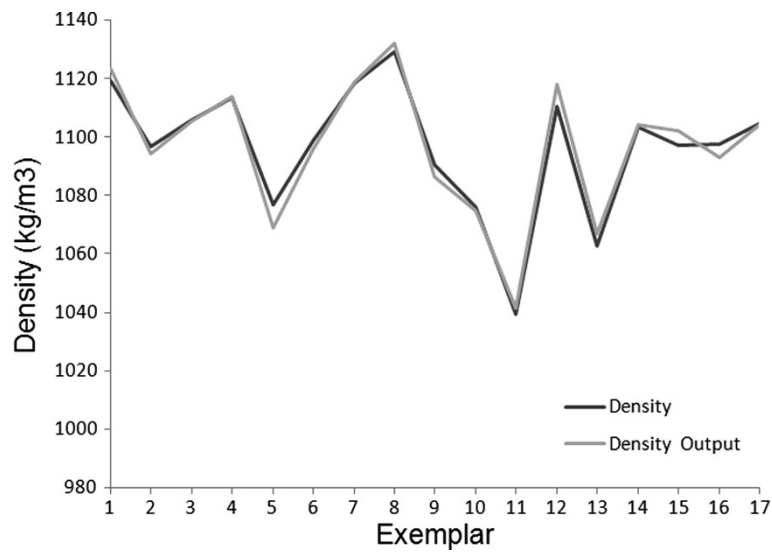


Figure 8 Desired output and actual network output.

quality predictions in this study, yet the ANN showed a clear superiority over the RSM for both data fitting and estimation capabilities.

Conclusions

The present work examined the effects of moisture content, piston speed, die length, and particle size on the density of biomass pellets. In this research, artificial neural network was used for modeling the effect of independent

variables on the density of the pellet and results compared with results of RSM method. The results indicate that a properly trained neural network can be used to predict effect of input variable on pellet density. The ANN model was found to have higher predictive capability than the RSM model. Statistical analyses confirmed that the moisture content, speed of piston, and particle size significantly affected the pellet density while the influence of die length was negligible. The result of present research can be

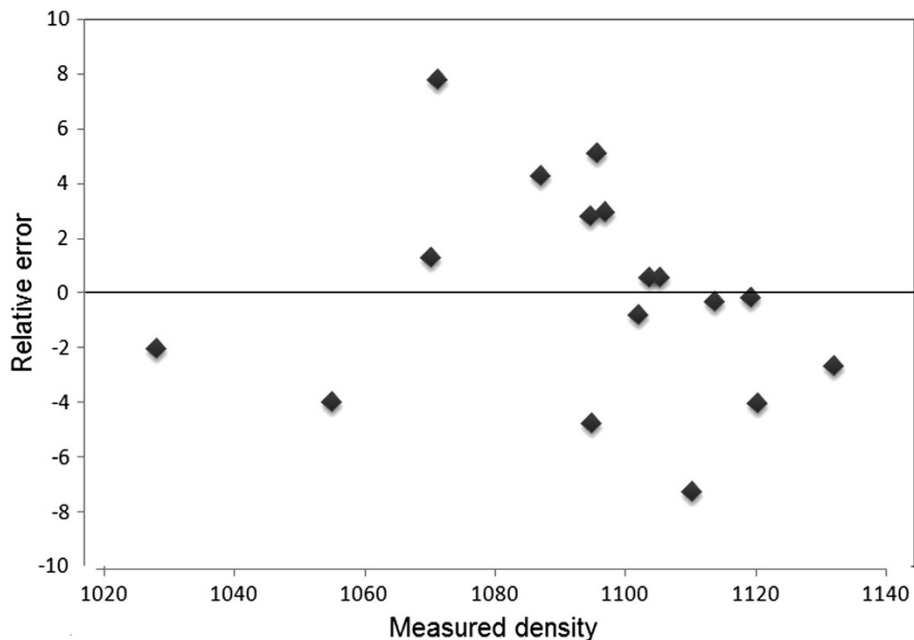


Figure 9 Error distribution of the ANN model for predicting the density of biomass pellet.

Table 5 Validation data set

| Moisture | Speed of piston (mm/s) | Die length (mm) | Particle size (mm) | Actual | Predicted | |
|----------|---------------------------|--------------------|-----------------------|----------|-----------------|-----------|
| | | | | | Quadratic model | ANN model |
| 50 | 5 | 6 | 0.6 | 1,053.74 | 1,008.10 | 1,043.80 |
| 30 | 5 | 6 | 0.6 | 1,072.02 | 1,030.12 | 1,065.76 |
| 50 | 5 | 6 | 2 | 984.21 | 826.24 | 937.40 |
| 30 | 5 | 6 | 2 | 918.24 | 848.26 | 928.93 |
| 50 | 5 | 15 | 0.6 | 1,141.28 | 955.24 | 1,132.00 |
| 30 | 5 | 15 | 0.6 | 1,051.02 | 977.26 | 1,039.04 |
| 50 | 5 | 15 | 2 | 1,062.02 | 773.38 | 1,058.90 |
| 30 | 5 | 15 | 2 | 923.50 | 795.40 | 931.00 |
| 50 | 15 | 6 | 0.6 | 1,003.47 | 1,103.98 | 993.60 |
| 30 | 15 | 6 | 0.6 | 1,096.75 | 1,126.01 | 1,088.09 |
| 50 | 15 | 6 | 2 | 897.95 | 922.12 | 904.90 |
| 30 | 15 | 6 | 2 | 942.96 | 944.14 | 930.93 |
| 50 | 15 | 15 | 0.6 | 949.30 | 945.40 | 961.96 |
| 30 | 15 | 15 | 0.6 | 934.03 | 967.42 | 942.94 |
| 50 | 15 | 15 | 2 | 870.04 | 763.54 | 861.78 |
| 30 | 15 | 15 | 2 | 806.51 | 785.56 | 809.00 |

useful for designing and constructing a suitable pelleting machine for producing biomass pellets.

Competing interests

The authors declare that they have no competing interests.

Authors' contributions

AZ was the student and main author, did the field and laboratory work, and drafted the manuscript. MHK was the supervisor and participated in drawing up the project draft proposal. RA participated in the design of the study and performed the statistical analysis. All authors read and approved the final manuscript.

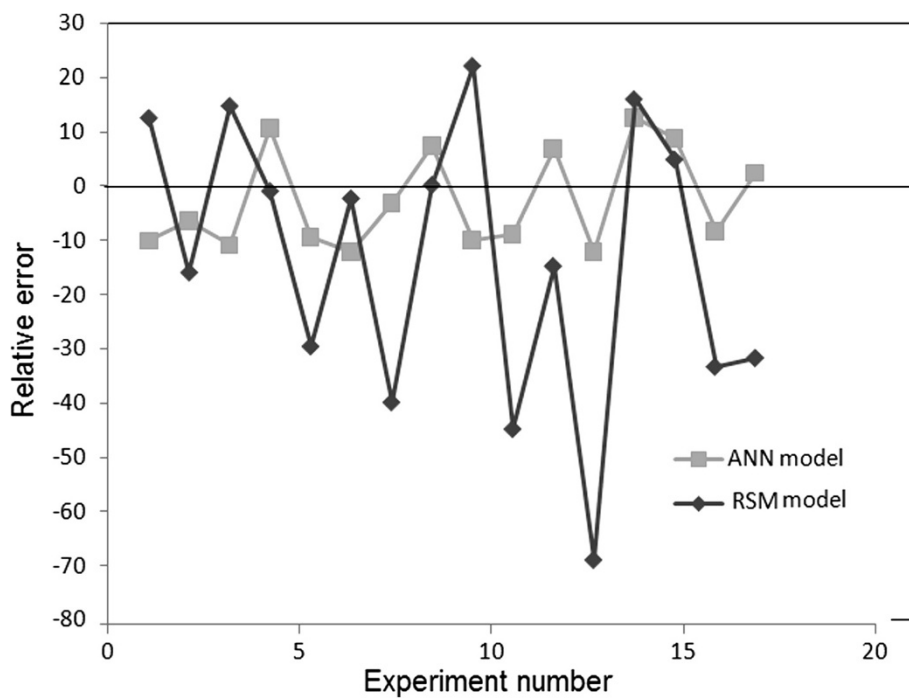


Figure 10 Distribution of relative error.

Table 6 Comparison of RSM and ANN models

| Parameters | RSM | ANN |
|----------------|------|-------|
| RMSE | 0.54 | 0.017 |
| R ² | 0.92 | 0.97 |

Authors' information

AZ and RA are Graduate students, and MHK is Associate professor of the Department of Agrotechnology, College of Abouraihan, University of Tehran, Iran.

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